

WHITE PAPER

Adverse Event Estimation in Post Marketing

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Introduction

Sample size estimation is a mandatory exercise before undertaking any scientific study. This will help the researcher to plan and execute the study properly. However, the entire process depends up on the number of patients to be recruited for the proposed study. Hence the utmost care should be taken while calculating the sample size. The purpose of this paper is to provide a reference table containing sample size required for a post marketing clinical trial when there is no background incidence of adverse event in the general population. The table provides the sample size for various options such as the expected incidence rate of adverse reactions and the number of occurrence of a particular adverse reaction along with various statistical powers. A few examples are also provided in the paper for better understanding.

Sample size Estimation when there is no background incidence of Adverse Events

Suppose the expected incidence rate of adverse reactions is λ , the expected number of occurrences of a particular adverse reaction is a and the number of patients required to be studied is N . This N needs to be estimated. If the incidence of adverse reactions is reasonably low then one might assume that it follow a Poisson distribution. With these assumptions and for a given statistical power $(1-\beta)$, N satisfies the following equation.

$$\sum_{x=0}^{a-1} \frac{(N\lambda)^x e^{-N\lambda}}{x!} = \beta$$

When $a = 1$ (i.e., when adverse reaction expected to occur only in one patient) then the above equation simplifies to

$$N = \frac{-\log \beta}{\lambda}$$

For $a > 1$ there is no simple expression for the solution for the above equation but the same can be solved by using simulation methods. Thus the required sample size could be estimated from the above equations.

The following table gives required sample size for various options such as expected incidence rate of adverse reactions (λ), the number of occurrence of a particular adverse reaction (a) and statistical power $(1-\beta)$. This would be useful for future researchers as a ready reckoner.

Table 1: Sample sizes required to observe a total of a adverse reactions with a given probability $1-\beta$ and anticipated incidence λ .

| λ | a | Statistical power | | | | | |
|-----------|-----|-------------------|--------|--------|--------|--------|--------|
| | | 50% | 60% | 70% | 80% | 90% | 95% |
| 0.0001 | 1 | 6932 | 9163 | 12040 | 16095 | 23026 | 29958 |
| | 2 | 16784 | 20224 | 24393 | 29944 | 38898 | 47439 |
| | 3 | 26741 | 31054 | 36156 | 42791 | 53224 | 62958 |
| | 4 | 36721 | 41753 | 47623 | 55151 | 66808 | 77537 |
| | 5 | 46710 | 52367 | 58904 | 67210 | 79936 | 91536 |
| | 6 | 56702 | 62920 | 70056 | 79060 | 92747 | 105131 |
| | 7 | 66697 | 73427 | 81111 | 90754 | 105321 | 118424 |
| | 8 | 76693 | 83898 | 92090 | 102326 | 117710 | 131482 |
| | 9 | 86690 | 94340 | 103007 | 113798 | 129948 | 144347 |
| | 10 | 96688 | 104757 | 113873 | 125188 | 142060 | 157053 |

| | | | | | | | |
|---------------|-----------|-------|-------|-------|-------|-------|-------|
| 0.0005 | 1 | 1387 | 1833 | 2408 | 3219 | 4606 | 5992 |
| | 2 | 3357 | 4045 | 4879 | 5989 | 7780 | 9488 |
| | 3 | 5349 | 6211 | 7232 | 8559 | 10645 | 12592 |
| | 4 | 7345 | 8351 | 9525 | 11031 | 13362 | 15508 |
| | 5 | 9342 | 10474 | 11781 | 13442 | 15988 | 18308 |
| | 6 | 11341 | 12584 | 14012 | 15812 | 18550 | 21027 |
| | 7 | 13340 | 14686 | 16223 | 18151 | 21065 | 23685 |
| | 8 | 15339 | 16780 | 18418 | 20466 | 23542 | 26297 |
| | 9 | 17338 | 18868 | 20602 | 22760 | 25990 | 28870 |
| | 10 | 19338 | 20952 | 22775 | 25038 | 28412 | 31411 |
| 0.001 | 1 | 694 | 917 | 1204 | 1610 | 2303 | 2996 |
| | 2 | 1679 | 2023 | 2440 | 2995 | 3890 | 4744 |
| | 3 | 2675 | 3106 | 3616 | 4280 | 5323 | 6296 |
| | 4 | 3673 | 4176 | 4763 | 5516 | 6681 | 7754 |
| | 5 | 4671 | 5237 | 5891 | 6721 | 7994 | 9154 |
| | 6 | 5671 | 6292 | 7006 | 7906 | 9275 | 10514 |
| | 7 | 6670 | 7343 | 8112 | 9076 | 10533 | 11843 |
| | 8 | 7670 | 8390 | 9209 | 10233 | 11771 | 13149 |
| | 9 | 8669 | 9434 | 10301 | 11380 | 12995 | 14435 |
| | 10 | 9669 | 10476 | 11388 | 12519 | 14206 | 15706 |
| 0.005 | 1 | 139 | 184 | 241 | 322 | 461 | 600 |
| | 2 | 336 | 405 | 488 | 599 | 778 | 949 |
| | 3 | 535 | 622 | 724 | 856 | 1065 | 1260 |
| | 4 | 735 | 836 | 953 | 1104 | 1337 | 1551 |
| | 5 | 935 | 1048 | 1179 | 1345 | 1599 | 1831 |
| | 6 | 1135 | 1259 | 1402 | 1582 | 1855 | 2103 |
| | 7 | 1334 | 1469 | 1623 | 1816 | 2107 | 2369 |
| | 8 | 1534 | 1678 | 1842 | 2047 | 2355 | 2630 |
| | 9 | 1734 | 1887 | 2061 | 2276 | 2599 | 2887 |
| | 10 | 1934 | 2096 | 2278 | 2504 | 2842 | 3142 |
| 0.01 | 1 | 70 | 92 | 121 | 161 | 231 | 300 |
| | 2 | 168 | 203 | 244 | 300 | 389 | 475 |
| | 3 | 268 | 311 | 362 | 428 | 533 | 630 |
| | 4 | 368 | 418 | 477 | 552 | 669 | 776 |
| | 5 | 468 | 524 | 590 | 673 | 800 | 916 |
| | 6 | 568 | 630 | 701 | 791 | 928 | 1052 |
| | 7 | 667 | 735 | 812 | 908 | 1054 | 1185 |
| | 8 | 767 | 839 | 921 | 1024 | 1178 | 1315 |
| | 9 | 867 | 944 | 1031 | 1138 | 1300 | 1444 |
| | 10 | 967 | 1048 | 1139 | 1252 | 1421 | 1571 |

Example 1

In a previous study, it was found that an anti-hypertensive drug produced cardiac arrhythmias in about 1 in 10,000 patients. A researcher decides that if drug under PMS study produces 3 such arrhythmias then the drug will have to be withdrawn from the market. He wishes to detect 3 events with a statistical power of 90%.

Solution:

In this given situation, we have the inputs such as $1 - \alpha = 0.90$, incidence rate $= 1/10000 = 0.0001$ and $a = 3$. Then from the above table, the required sample size would be 53224 patients for the proposed study.

Example 2

In a previous study, an anti-diabetic drug produced a particular adverse event in about one in 100 patients. A researcher decides that if a new anti-diabetic drug produces two such adverse events then the drug will have to be withdrawn. He wishes to detect two events with a statistical power of 80%.

Solution:

In this situation, we have the inputs such as statistical power $1 - \alpha = 0.80$, expected incidence rate $= 1/100 = 0.01$ and the anticipated occurrence of the adverse events $a = 2$. Then from the above table, the required sample size would be $N = 300$ patients for the proposed study.

Conclusion

The sample size estimation is an important step in the planning of a post marketing clinical trial especially when there is no background incidence of adverse events in the general population. The table provided above would certainly help the researcher to avoid the cumbersome mathematical calculations to estimate the sample size for the proposed study. Thus the table could be used as a ready reckoner for any post marketing clinical research to estimate the adverse events.

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Reference:

1. David Machin, Michael J. Campbell, Say Beng Tan, Sze Huey Tan. Sample Size Tables for Clinical Studies: Wiley-Blackwell; 2009.

